

ESG: INVESTMENT MANAGERS

Investment Managers need to show they have the research and resources to manage client mandates in accordance with the Environmental, Social and governance clauses in their Investment Management Agreements (IMAs).

Proving the ability to support client's ESG requirements is essential to win

ESG Credentials

Show clients and prospective clients that ESG is a mature, established investment theme.

Resourcing

Assess and report on the resources (professional, systems, data and third-party services) utilized in the ESG strategy.

Reporting

Record ESG issues and concerns as they arrive and discuss with clients.
Provide internal ESG data/reports and client specific ESG data/reports.

KEY FEATURES



Rule Checking: Contractual/Internal
Run rules on question answers to flag potential breaches/internal controls.



Process Management
Use dynamic questions/responses to ensure processes are followed.



Incident/Breach Management
Assess potential breaches and manage them to resolution.



Reports/Business Intelligence
Maintain compliance records and produce on-line/Excel/PDF reports.



FLEXIBLE & LOW COST

Flexible

GRC-Maestro uses Templates, so each client can run their ESG Compliance at an IMA/firm/desk level as appropriate:

- **Standard** - Use pre-built Templates covering standard laws and regulations
- **Copy & Edit** - Use existing Templates and edit for your own requirements
- **Customise** - Build new Templates based on client specific IMAs

Low Cost

GRC-Maestro has a range of price plans to give users great compliance software at a low cost.

There are no hardware requirements or software to install, just log in through any browser.

For More Details:
[Contact Us](#)

STATUTORY, REGULATORY, POLICIES AND CONTRACTS/SLAs

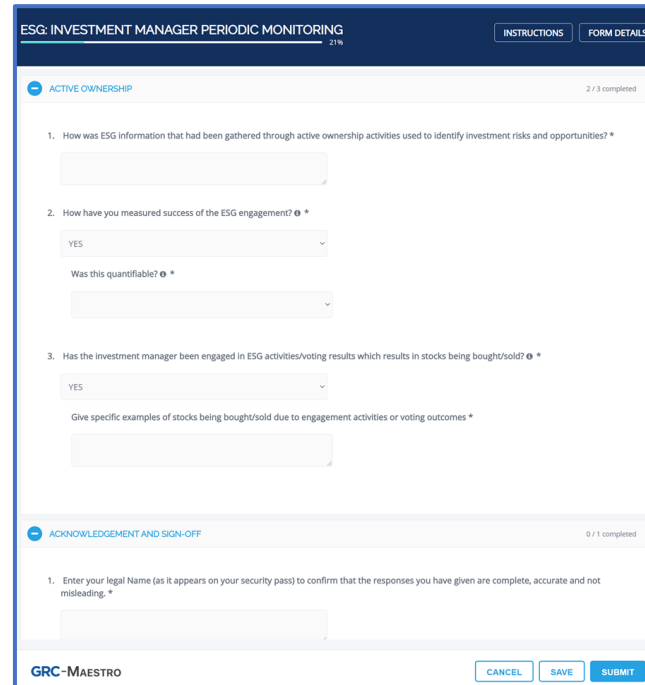
GRC-Maestro supports your ESG Governance requirements across your organisation.

The platform has powerful compliance monitoring and breach identification/management. Customised checks, controls and record keeping are built into your Maestro-Templates.

 AUSTRALIA Australian Charities and Not-for-Profits Commission	 CYPRUS Commission for Voluntary & Non-Government Organizations	 IRELAND An Rialálal Carthanas	 Canada Charites Directorate	 MALAYSIA Charity Commission (pending)	 MALTA Commissioner for Voluntary Organisations
 SINGAPORE Charities Directorate	 NEW ZEALAND Charities Service	 GUERNSEY Association of Guernsey Charities	 JERSEY Jersey Charity Commission & Register of Charities	 UNITED KINGDOM The Charity Commission	 Isle of Man HM Attorney General

ASK, RESPOND, REVIEW, ASSESS, REPORT AND RECORD

- ASK** Information required to assess compliance with regulations, internal policies, legal requirements or record keeping
- RESPOND** Respondent answers questions and attaches documents as required (pre-submission validation)
- REVIEW** Automatic check on submitted answers to identify Incidents (option to manually create Incident or reject Maestro-Form)
- ASSESS** Management review of Incidents to determine Breaches/Non-Breaches with reason for assessment required
- REPORT** Provide regulators and management with reports showing internal controls, results of monitoring, breaches/non-breaches etc.



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