

AML/COMPLIANCE PROGRAM EVALUATION

Firms need to periodically step back from detailed AML/Compliance management and assess the “big picture” - is their AML/Compliance program working?

Dynamic-GRC’s AML/Compliance Program Evaluation solution is designed to give firms assurance that their program is minimizing their legal and regulatory risk.

Prevention

Ensure your compliance program is updated and implemented to prevent compliance violations.

Detection

Assess whether your compliance program is likely to detect AML/Compliance violations.

Remediation

If AML/Compliance violations have occurred, have these been resolved promptly and remediated?



FLEXIBLE & LOW COST

Flexible

GRC-Maestro uses Templates, so each client can run their ESG Compliance at an IMA/firm/desk level as appropriate:

- **Standard** - Use pre-built Templates covering standard laws and regulations
- **Copy & Edit** – Use existing Templates and edit for your own requirements
- **Customise** – Build new Templates based on client specific IMAs

Low Cost

GRC-Maestro has a range of price plans to give users great compliance software at a low cost.

There are no hardware requirements or software to install, just log in through any browser.

[For More Details:
Contact Us](#)

KEY FEATURES



Rule Checking: Contractual/Internal
Run rules on question answers to flag potential breaches/internal controls.



Process Management
Use dynamic questions/responses to ensure processes are followed.



Incident/Breach Management
Assess potential breaches and manage them to resolution.



Reports/Business Intelligence
Maintain compliance records and produce on-line/Excel/PDF reports.

STATUTORY, REGULATORY, POLICIES AND CONTRACTS/SLAs

GRC-Maestro helps ensure your organization's AML/Compliance program is comprehensively implemented and provide assurance that your firm's legal and regulatory risks are adequately addressed.

The platform has powerful compliance monitoring and breach identification/management. Customised checks, controls and record keeping are built into your Maestro-Templates.

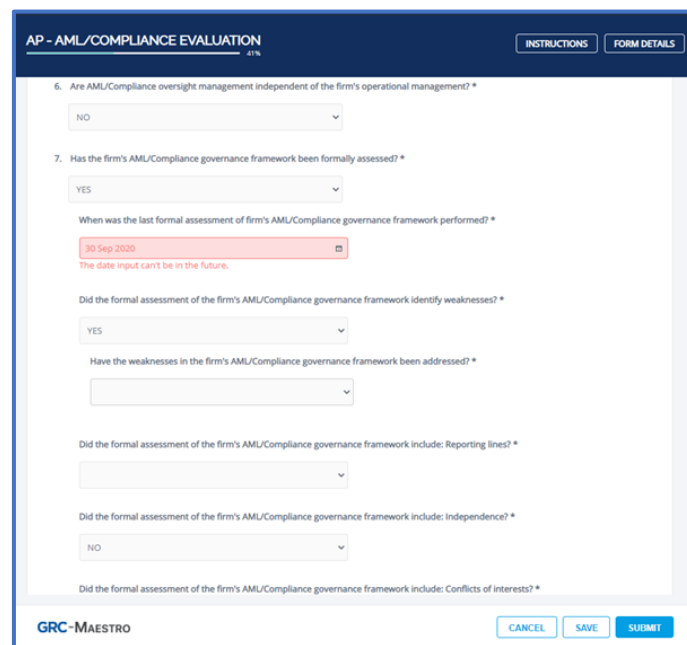
 AUSTRALIA Australian Charities and Not-for-Profits Commission	 CYPRUS Commission for Voluntary & Non-Government Organizations	 IRELAND An Rialálaí Carthanas	 Canada Charites Directorate	 MALAYSIA Charity Commission (pending)	 MALTA Commissioner for Voluntary Organisations
 SINGAPORE Charities Directorate	 NEW ZEALAND Charities Service	 GUERNSEY Association of Guernsey Charities	 JERSEY Jersey Charity Commission & Register of Charities	 UNITED KINGDOM The Charity Commission	 Isle of Man HM Attorney General

GRC-Maestro supports your client contract management requirements across your organisation.

ASK, RESPOND, REVIEW, ASSESS, REPORT AND RECORD

The platform has powerful compliance monitoring and breach identification/management built in. Customised checks, controls and record keeping are built into your Maestro-Templates.

- ASK** Information required to assess compliance with regulations, internal policies, legal requirements or record keeping
- RESPOND** Respondent answers questions and attaches documents as required (pre-submission validation)
- REVIEW** Automatic check on submitted answers to identify Incidents (option to manually create Incident or reject Maestro-Form)
- ASSESS** Management review of Incidents to determine Breaches/Non-Breaches with reason for assessment required
- REPORT** Provide regulators and management with reports showing internal controls, results of monitoring, breaches/non-breaches etc.



CONTACTS

+61 2 8006 5008 (AUS & NZL)
 +65 9385 7455 (SGP)
 +44 20 3286 0800 (GBR & IRL)
 +1 617 401 8009 (USA)

www.dynamic-grc.com
info@dynamic-grc.com
<https://www.linkedin.com/company/dynamic-grc>

