

REGULATORY CHANGE MANAGEMENT

Laws and regulations change!
Your firm has to ensure relevant changes are identified, analysed and implemented.

Failure to fully implement new laws and regulations will expose your firm, and Senior Management, to heavy regulatory fines.

Governance

Firms need to know where they conduct regulated financial activities, the regulatory agencies in the jurisdiction and the laws which apply. As new laws/regulations are introduced, firms need to fully implement them.

Operational Impact





As laws/regulations change, there needs to be a formal impact assessment, including:

- IT Systems
- Data Requirements
- Manual Processes
- Output Reports
- Operational Risk

Test, Confirm and Close

As each change is implemented, it needs to be thoroughly tested (positive and negative testing), operational validation checks put in place and receive sign-off before closure.

KEY FEATURES

-  **Rule Checking: Regulatory/Internal**
Run rules on question answers to flag potential breaches/internal controls.
-  **Process Management**
Use dynamic questions/responses to ensure processes are followed.
-  **Incident/Breach Management**
Assess potential breaches and manage them to resolution.
-  **Reports/Business Intelligence**
Maintain compliance records and produce on-line/Excel/PDF reports.



FLEXIBLE & LOW COST

Flexible

GRC-Maestro uses Templates so each firm can run their Compliance checks to suit their requirements:

- **Standard** - Use pre-built Templates covering laws, regulations and industry best practice
- **Copy & Edit** - Use existing Templates and edit for your own requirements
- **Customise** - Build new Templates based on your own requirements

Low Cost

GRC-Maestro has a range of price plans to give users great compliance software at a low cost.

There are no hardware requirements or software to install, just log in through any browser.

[For More Details:
Contact Us](#)

STATUTORY, REGULATORY, TAX, POLICIES AND CONTRACTS/SLAs

GRC-Maestro supports your Regulatory Change Management requirements.

The platform has powerful compliance monitoring and breach identification/management.

Customised checks, controls and record keeping are built into your Maestro-Templates.

 AUSTRALIA Australian Charities and Not-for-Profits Commission	 CYPRUS Commission for Voluntary & Non-Government Organizations	 IRELAND An Rialálaí Carthanas	 Canada Charites Directorate	 MALAYSIA Charity Commission (pending)	 MALTA Commissioner for Voluntary Organisations
 SINGAPORE Charities Directorate	 NEW ZEALAND Charities Service	 GUERNSEY Association of Guernsey Charities	 JERSEY Jersey Charity Commission & Register of Charities	 UNITED KINGDOM The Charity Commission	 Isle of Man HM Attorney General

ASK, RESPOND, REVIEW, ASSESS, REPORT AND RECORD

ASK

Information required to assess compliance with regulations, internal policies, legal requirements or record keeping

RESPOND

Respondent answers questions and attaches documents as required (pre-submission validation)

REVIEW

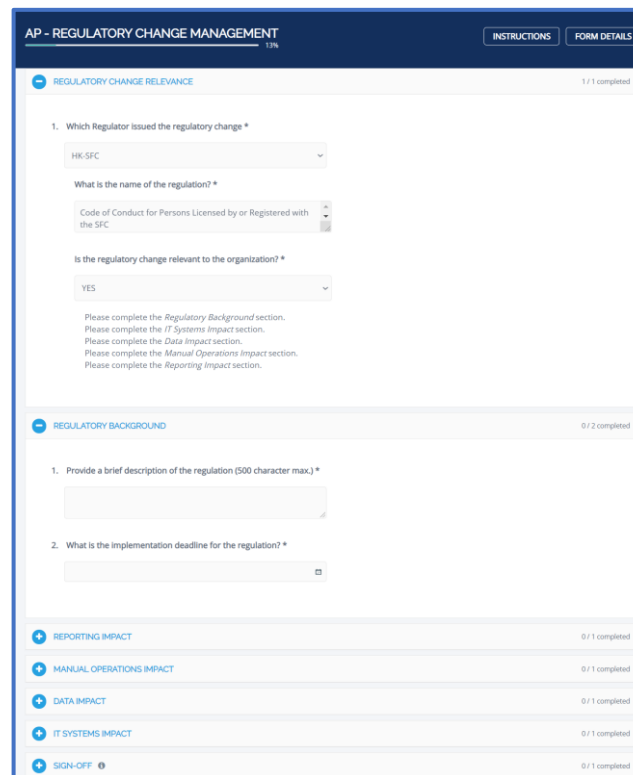
Automatic check on submitted answers to identify Incidents (option to manually create Incident or reject Maestro-Form)

ASSESS

Management review of Incidents to determine Breaches/Non-Breaches with reason for assessment required

REPORT

Provide regulators and management with reports showing internal controls, results of monitoring, breaches/non-breaches etc.



CONTACTS

+61 2 8006 5008 (AUS & NZL)

+65 9385 7455 (SGP)

+44 20 3286 0800 (GBR & IRL)

+1 617 401 8009 (USA)

www.dynamic-grc.com

info@dynamic-grc.com

<https://www.linkedin.com/company/dynamic-grc>

