

IT SYSTEMS GOVERNANCE

KEY FEATURES

IT systems are critical to firms which is why Senior Management and Regulators require they are strictly governed.

Scope

IT Systems Governance starts with maintaining a register of all systems used by the firm. Each IT system has a designated person who is responsible for the management of the system.

Security

The security features of each IT system have to be recorded and weaknesses identified.

Monitoring & Testing

The performance of each IT system has to be monitored and periodically reported. Critical checks and controls in each IT system have to be tested to ensure they operate as expected.

Exceptions

All unexpected IT system behaviour has to be reported, investigated and resolved, e.g. security breaches, data errors, service outage, bugs, etc.

KEY FEATURES



Rule Checking: Contractual/Internal

Run rules on question answers to flag potential breaches/internal controls.



Process Management

Use dynamic questions/responses to ensure processes are followed.



Incident/Breach Management

Assess potential breaches and manage them to resolution.



Reports/Business Intelligence

Maintain compliance records and produce on-line/Excel/PDF reports.



FLEXIBLE & LOW COST

Flexible

GRC-Maestro uses Templates, so each client can run their IT Systems Governance across all systems or specific systems as appropriate:

- **Standard** - Use pre-built Templates covering standard laws and processes
- **Copy & Edit** – Use existing Templates and edit for your own requirements
- **Customise** – Build new Templates based on client specific contracts

Low Cost

GRC-Maestro has a range of price plans to give users great compliance software at a low cost.

There are no hardware requirements or software to install, just log in through any browser.

For More Details:
Contact Us

MANAGEMENT CONTROL ACROSS YOUR IT SYSTEMS

GRC-Maestro supports your IT Systems Governance across your organization. This means that information can be collated across your IT systems for Senior Management reporting.

The platform has powerful compliance monitoring and alert/breach identification/management.

Customised checks, controls and record keeping are built into your Maestro-Templates.

Incidents by Location



ASK, RESPOND, REVIEW, ASSESS, REPORT AND RECORD

ASK

Information required to assess compliance with regulations, internal policies, legal requirements or record keeping

RESPOND

Respondent answers questions and attaches documents as required (pre-submission validation)

REVIEW

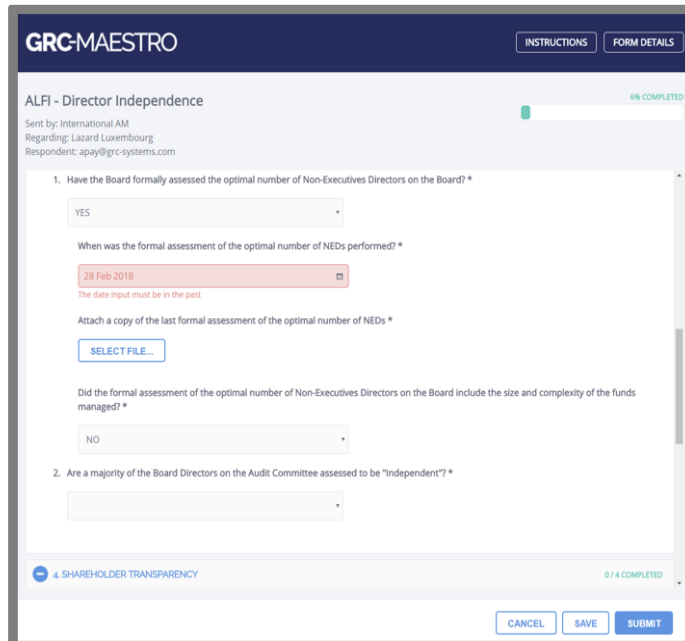
Automatic check on submitted answers to identify Incidents (option to manually create Incident or reject Maestro-Form)

ASSESS

Management review of Incidents to determine Breaches/Non-Breaches with reason for assessment required

REPORT

Provide regulators and management with reports showing internal controls, results of monitoring, breaches/non-breaches etc.



GRC-MAESTRO INSTRUCTIONS FORM DETAILS

ALFI - Director Independence 60% COMPLETED

Sent by: International AM
Regarding: Lazard Luxembourg
Respondent: apay@grc-systems.com

1. Have the Board formally assessed the optimal number of Non-Executives Directors on the Board? *

YES

When was the formal assessment of the optimal number of NEDs performed? *

28 Feb 2018

The date input must be in the past

Attach a copy of the last formal assessment of the optimal number of NEDs *

Did the formal assessment of the optimal number of Non-Executives Directors on the Board include the size and complexity of the funds managed? *

NO

2. Are a majority of the Board Directors on the Audit Committee assessed to be "Independent"? *

4 SHAREHOLDER TRANSPARENCY 0 / 4 COMPLETED

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