

GRC: PROFESSIONAL SERVICES

Dynamic-GRC are experts in technology and financial regulation.

Our consultants specialise in Governance, Risk and Compliance.

Assignments

Dynamic-GRC consultants have worked on a wide range of client assignments, including:

- **IT Implementations** – technology systems including: CRD, ThinkFolio, Bloomberg POMS and LatentZero, etc.
- **IT Reviews** – assess and recommend configuration/data enhancements to existing technology implementations
- **Business Processes** – review existing processes and recommend enhancements based on industry best practice
- **Reporting** – develop improved management information reports based on available data
- **Investigations** – conduct and report on special assignments: AML, insider trading, front running, mispricing, licensing, etc.

KEY SERVICES

Dynamic-GRC work with each client to assess and identify their business/regulatory/legal requirement. We have a range of Professional Services approaches based on the requirements of each client.



Consulting

Advise best practices, optimising resource utilization and using technology.



GRC Assurance

Provide clients with independent and expert review of their GRC controls.



Regulatory Technology

Assist in selection, implementation and optimizing technology solutions.



Professional Staffing

Help clients resource GRC investigations, technology projects or interim cover.



QUALITY, FLEXIBLE & LOW

Dynamic-GRC understands that each firm has different requirements of professional service, and that these change over time.

- **Quality** - Our primary focus is on delivering the required professional services at a high quality
- **Flexible** – Each client needs flexible professional services and minimise fixed costs
- **Low Cost** – High quality is not “cheap” in the short run but, if you want your deliverable achieved quickly with the least business disruption and low risk then

By offering a high-quality service with the flexibility that clients require and competitive rates, Dynamic-GRC offer a compelling Professional Services business case.

[For More Details:
Contact Us](#)

GLOBAL GOVERNANCE, RISK & COMPLIANCE

Whether you need consultants to assist with statutory, regulatory, internal controls or contracts, Dynamic-GRC Professional Services have the experience and expertise you need.

Our consultants who have worked in multiple jurisdictions with leading financial services firms.

If an assignment requires jurisdiction specific expertise we can access our global partner network.

GRC Business Intelligence (BI)

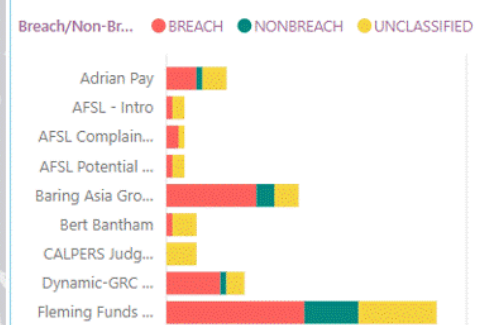
Incidents by Subject and Office

Subject	Australia	France	Hong Kong	Ireland	Japan	Luxembourg	Singapore	UK	USA	Total
Adrian Pay	1	2	1			1		1	4	10
AFSL - Intro						1		1	1	3
AFSL Complaint Report			1	1		1				3
AFSL Potential Breach		1	1				1			3
				1	1	3	3	1	4	6
				2	1			1		5
				1				1	2	5

Incidents by Location



Incidents by Subject and Breach/Non-Breach



PROFESSIONAL SERVICES PROCESS



Regulatory Technology

In most cases the GRC assignment will require an analysis of the current technology employed.

Our team has extensive experience working with front/mid/back office technology systems.

We also offer our own GRC-Maestro platform to augment existing IT systems.



Solution Driven

Based on our extensive experience, our GRC professionals are experts at:

- Optimising internal processes/controls
- Configuring IT solutions
- identifying data issues and resolving them

We focus on achieving better GRC outcomes through the more efficient use of existing resources.

CONTACTS

+61 2 8006 5008 (AUS & NZL)
 +65 9385 7455 (SGP)
 +44 20 3286 0800 (GBR & IRL)
 +1 617 401 8009 (USA)

www.dynamic-grc.com
info@dynamic-grc.com
<https://www.linkedin.com/company/dynamic-grc>