



Vendor Contract Management

The Maestro-Solution for Vendor Contract Management consists of a collection of Maestro-Templates which are designed to manage, control and evidence each part of your Vendor Management control:

- **Initiation** – comprehensive due diligence on outsource service providers
- **Monitoring** – periodic verification of due diligence and performance review
- **Exceptions** – investigate service issues and report regulatory breaches
- **Regulatory** – maintain required records and make necessary reports

Fast & Flexible

Download pre-set Maestro-Templates to cover the outsourcing requirements of different regulators with an option to customize to meet your own specific requirements or build your own.

- **Download** – use Maestro-Templates prepared to meet best practice standards by Dynamic-GRC
- **Build** – prepare customised Maestro-Templates based on specific contracts signed with vendors
- **Copy & Edit** – use downloaded or self built Maestro-Templates and edit to specific requirements

Key Features

Powerful inbuilt security and internal controls to efficiently manage and evidence your GRC environment:

- **Targets** – apply control to outsourced services, service providers, regulatory rules
- **Incident Identification** – rule based or manual assessment
- **Incident Assessment** – manual classification with reason of breaches and non-breaches
- **Responses** – text, dates, numbers, single select, multi select, attach, etc.

Vendor Contract Requirements

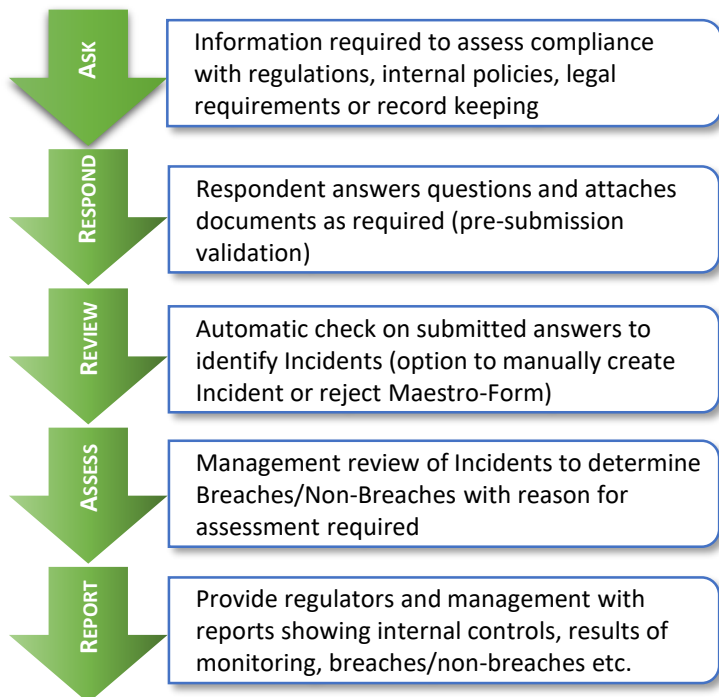
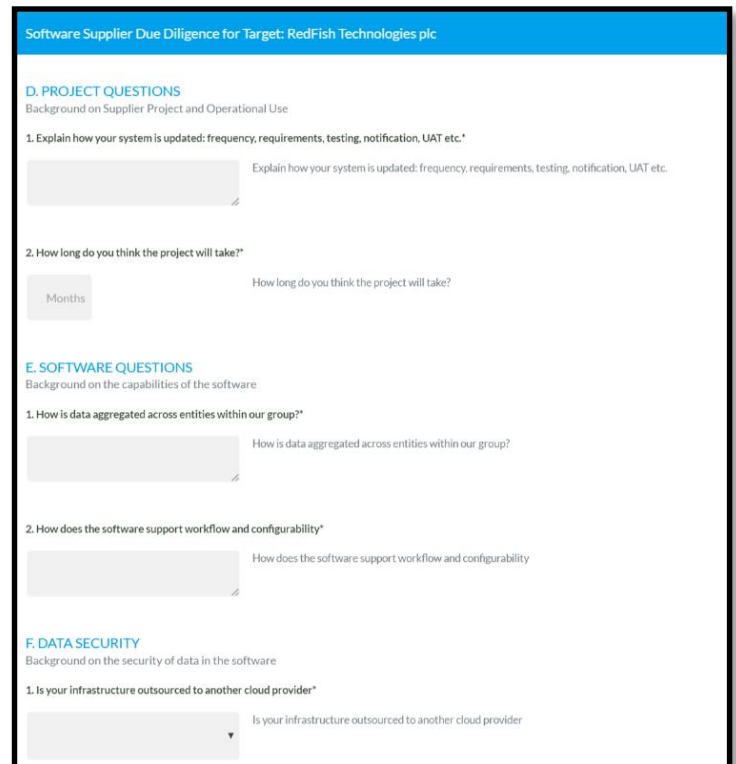
GRC-Maestro supports your vendor contract management requirements across your organisation.

The platform functionality is generic, specific checks and controls are built into your Maestro-Templates.

Contractual

- **Regulatory/legal status:** your regulatory/legal obligations
- **Conflicts of interest:** identification, management and disclosure
- **Record keeping:** information required including retention
- **Services:** level of service provided (KPIs)
- **Fees:** calculation
- **Dealing (for delegated accounts):** best execution, broker selection, commissions, holding periods
- **Investment Guidelines (for delegated accounts):** permitted and prohibited investments/strategies

Ask, Respond, Review, Assess, Report and Record

Software Supplier Due Diligence for Target: RedFish Technologies plc

D. PROJECT QUESTIONS
Background on Supplier Project and Operational Use

1. Explain how your system is updated: frequency, requirements, testing, notification, UAT etc.*
 Explain how your system is updated: frequency, requirements, testing, notification, UAT etc.

2. How long do you think the project will take?*

Months How long do you think the project will take?*

E. SOFTWARE QUESTIONS
Background on the capabilities of the software

1. How is data aggregated across entities within our group?*

How is data aggregated across entities within our group?*

2. How does the software support workflow and configurability?*

How does the software support workflow and configurability?*

F. DATA SECURITY
Background on the security of data in the software

1. Is your infrastructure outsourced to another cloud provider?*

Is your infrastructure outsourced to another cloud provider?*

Contacts

Australia & New Zealand: +61 2 8006 5008

Singapore: +65 9385 7455

UK & Ireland: +44 20 3286 0800

USA: +1 617 401 8009

Web site: www.dynamic-grc.com

Email: info@dynamic-grc.com

LinkedIn:

<https://www.linkedin.com/company/dynamic-grc>