

FUND GOVERNANCE COMPLIANCE

Fund compliance starts at the top – with Governance.

Performing an annual assessment of Fund Governance is an important task for the Board of any Fund/ManCo.

Governance Requirements

Evidence the Board's periodic formal assessment of their governance requirements, sought external advice where necessary and implemented the approved recommendations.

Directors

Ensure the Board has the skillset required to oversee the Fund/ManCo. Directors performance and training requirements should be periodically assessed, and appointments should be based on an objective analysis of skills and experience.

Oversight

Show that the Board has the necessary information to monitor the performance of the business, flags action items and ensures they are resolved.



FAST & FLEXIBLE

GRC-Maestro gives you compliance "fast" because it is on-line (just log into your account).

GRC-Maestro is "flexible" because you can use Maestro-Templates to suit your requirements:

Standard

Use standard Maestro-Templates covering laws, regulations and industry best practice.

Customise

Copy existing Maestro-Templates and customise for your own requirements.

Unique

Build Maestro-Templates based on your own Fund Governance requirements in each jurisdiction.

KEY FEATURES

Download pre-set Maestro-Templates to cover the compliance monitoring of Fund Governance in your regulatory jurisdiction.



Rule Checking

Run rules on question responses to identify and flag potential breaches.



Incident/Breach Management

Assess potential breaches and manage them to resolution.



Process Management

Use dynamic questions/responses to ensure processes are followed.



Reports

Maintain compliance records and produce on-line/Excel/PDF reports.

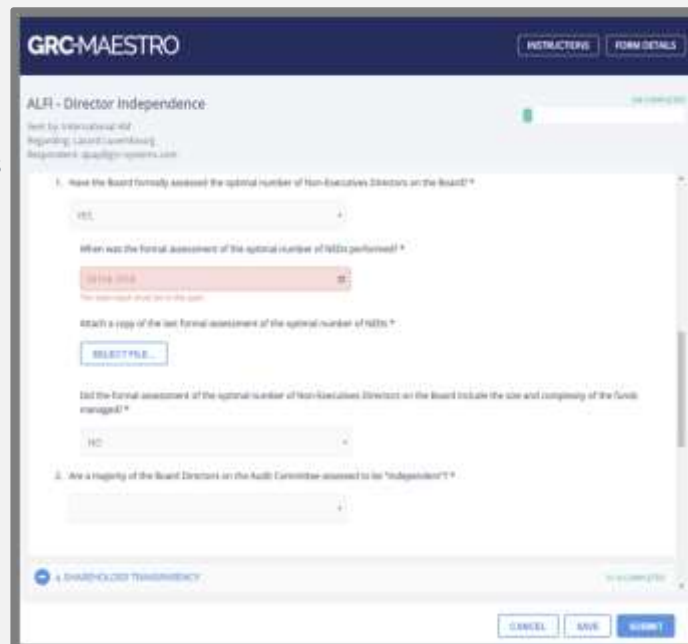
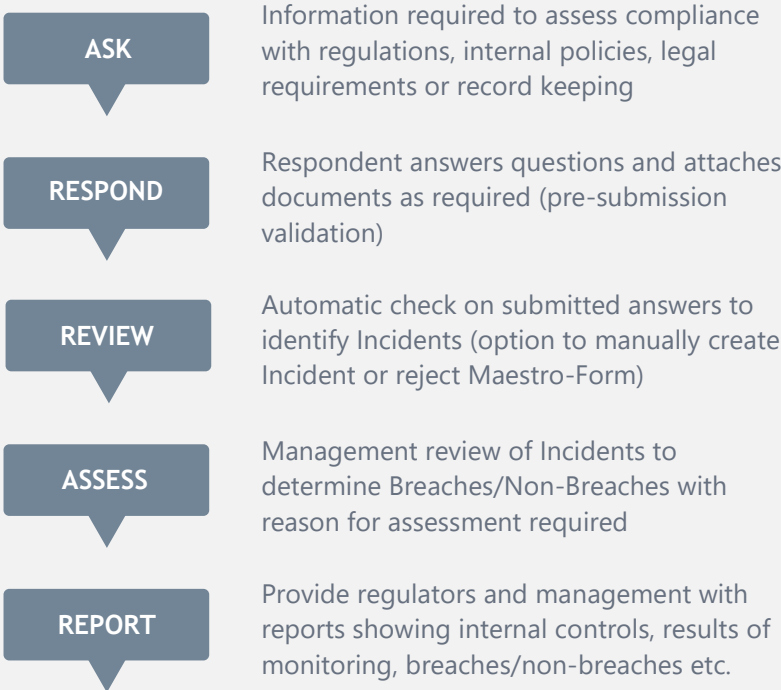
STATUTORY, REGULATORY, POLICIES AND CONTRACTS/SLAs

GRC-Maestro supports your Fund Governance requirements across your organisation.

The platform has powerful compliance monitoring and breach identification/management built in. Customised checks, controls and record keeping are built into your Maestro-Templates.



ASK, RESPOND, REVIEW, ASSESS, REPORT AND RECORD



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