

GRC-MAESTRO

TAKE YOUR COMPLIANCE TO A HIGHER LEVEL

- ❖ Do you need to automate your Governance, Risk & Compliance (GRC)?
- ❖ Are your compliance checks and records manual?
- ❖ Is your firm at risk due to poor record-keeping and/or compliance checks?
- ❖ Do you have more regulations but a limited compliance budget?

GRC-Maestro is an on-line compliance platform which helps regulated firms get compliant, stay compliant and prove compliance:

✓ Breach Checking

Automatically checks and flags potential breaches with powerful customisable rules.

✓ Case Management

Ensure all potential breaches are investigated, classified as breach/non-breach and are resolved with a full audit trail of actions.

✓ Record-Keeping

Record information required for statutory laws, regulations or internal management and store as required.

✓ Management Reporting

Provide on demand reports of compliance: breaches/non-breaches by time period



FULLY CUSTOMISABLE

GRC-Maestro can be customised to each clients' specific needs by using our unique Templates:

- **Standard**

Use standard Maestro-Templates covering laws, regulations and industry best practice.

- **Copy & Edit**

Copy existing Maestro-Templates and customise for your own requirements.

- **Customised**

Build Maestro-Templates based on your own regulatory requirements, internal polices, client contracts or outsourced service SLAs.

BUSINESS INFORMATION

Incidents by Subject and Office

Subject	Australia	France	Hong Kong	Ireland	Japan	Luxembourg	Singapore	UK	USA	Total
Adrian Pay		1	2	1			1		1	4
AFSL - Intro							1		1	3
AFSL Complaint Report				1	1		1			3
AFSL Potential Breach			1	1				1		3
				1	1	3	3	1	4	6
				2		1		1		5
					1			1	2	5

Incidents by Location



Incidents by Subject and Breach/Non-Breach

Breach/Non-Br... ● BREACH ● NONBREACH ● UNCLASSIFIED

Adrian Pay	● BREACH ● NONBREACH ● UNCLASSIFIED
AFSL - Intro	● BREACH ● NONBREACH ● UNCLASSIFIED
AFSL Complain...	● BREACH ● NONBREACH ● UNCLASSIFIED
AFSL Potential ...	● BREACH ● NONBREACH ● UNCLASSIFIED

STATUTORY, REGULATORY, POLICIES AND CONTRACTS/SLAs

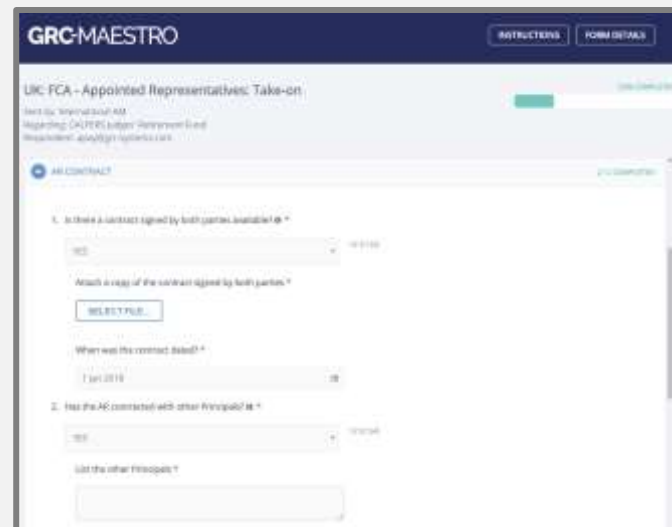
GRC-Maestro monitors and manages compliance across your organization and supports multiple regulated entities as well as multiple regulators.



The flexibility of the Maestro-Templates makes it easy to support new laws, regulations, internal policies, contracts with clients or service providers.





ASK, RESPOND, REVIEW, ASSESS, REPORT AND RECORD

- ASK**
 Information required to assess compliance with regulations, internal policies, legal requirements or record keeping
- RESPOND**
 Respondent answers questions and attaches documents as required (pre-submission validation)
- REVIEW**
 Automatic check on submitted answers to identify Incidents (option to manually create Incident or reject Maestro-Form)
- ASSESS**
 Management review of Incidents to determine Breaches/Non-Breaches with reason for assessment required
- REPORT**
 Provide regulators and management with reports showing internal controls, results of monitoring, breaches/non-breaches etc.



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Insource/Outsource Compliance
 Option to use GRC-Maestro to outsource intra-group or to specialist service provider.
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Integration
 Use our Open API to launch Maestro-Forms from other systems or manually.

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Low Cost
 Annual fee, no implementation/upgrade costs or hardware required.
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Security
 Fully compliant with Microsoft Azure security protocols.

CONTACT US

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